### **Data Structures and Algorithms**

# School of Electrical Engineering Korea University

### Analysis of algorithms (Chap. 1-2)

### School of Electrical Engineering Korea University

### Overview Program Machine Problem Output data Compile Execution Input data Computer Compiler Data structure **Architecture** Machine independent Machine dependent

- Algorithm definition
  - A finite sequence of instructions to solve a specific problem
  - Each instruction should be finished within a finite amount of time
  - Amount of resources such as time or space for the execution

- Algorithm description tools
  - English statements
  - Pseudo code
  - Programming language

- Algorithm Design Technique
  - Divide-and-Conquer
  - Heuristics
  - Dynamic programming
  - Backtracking
  - Branch and bound

# Procedure of writing a program

- 1. Problem specification
- 2. Understanding the problem
- 3. Thinking about how to solve it
- 4. Writing code with input data
- 5. Repeat run & revise

### 실행시간을 짧게 하려면

- 고속 컴퓨터
- 다수의 컴퓨터
- 우수한 프로그램
- 효과적인 자료저장, 추출방식

### 등이 확보 되도록

# Two (resource) issues

 Running time (execution time) seconds, minutes, hours,...

Space(memory) requirement
 Kbytes, Mbytes, Gbytes,...

### Better Programs

Shorter estimated running time to solve the problem

Way to access data
 O(N), O(log N)

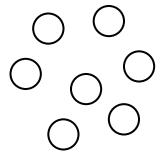
Readability / easy debugging

# How to get running times?

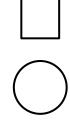
Experiments

- Theoretical-mathematical analysis by estimation / counting
  - Topic of this chapter.

# Analysis of Algorithms!







Input

Algorithm

**Output** 

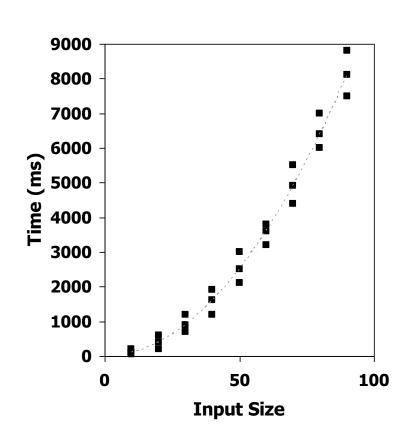
### Running times

- Linear N
- Logarithmic log N
- Polynomial  $\sum b_k N^k = b_0 + b_1 N + b_2 N^2 + ...$
- Exponential  $a^N$
- (in between)  $N\sqrt{N}$ ,  $\log^2 N$

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## **Experimental Studies**

- Write a program implementing the algorithm
- Run the program with inputs of varying size and composition
- Use a method like system.currentTimeMillis() to get an accurate measure of the actual running time
- Plot the results



## Limitations of Experiments

- Have to implement the algorithm, which may be difficult
- Results may not be indicative of the running time on the inputs which are not considered in the experiment.
- For fair comparison of two algorithms, the same hardware and software environments should be used

### Input-dependent exec. time

- Best-case exec. time: minimum
- Worst -case exec. time: maximum
- Avg.-case exec. time: in the middle

### Pseudo-code

- High-level description of an algorithm
- More structured than English prose
- Less detailed than actual program
- Preferred notation for describing algorithms
- Hides program design details

Ex: find max of an array

Algorithm arrayMax(A, n)Input array A of n integers
Output maximum element of A

 $currentMax \leftarrow A[0]$ 

for  $i \leftarrow 1$  to n-1 do
if A[i] > currentMax then  $currentMax \leftarrow A[i]$ return currentMax

### Pseudo-code Details

- Control flow
  - if ... then ... [else ...]
    while ... do ...
    repeat ... until ...
    for ... do ...
  - Indentation replaces braces
- Method declaration

```
Algorithm method (arg [, arg...])
Input ...
Output ...
```

### Pseudo-code Details

Method call

```
var.method (arg [, arg...])
```

 Return value return expression

#### Expressions

- ← Assignment (like = in Java)
- = Equality testing
  (like == in Java)
- n<sup>2</sup> Superscripts and other mathematical formatting allowed

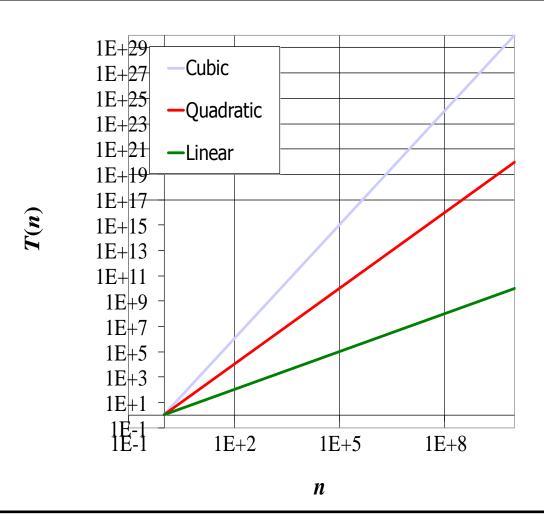
# Growth Rate of Running Time

- Changing the hardware/ software environment
  - affects T(n) by a constant factor, but
  - does not alter the growth rate of T(n)
- The linear growth rate of the running time T(n) is an intrinsic property of algorithm arrayMax

### **Growth Rates**

- Growth rates of functions:
  - Linear ≈ n
  - Quadratic  $\approx n^2$
  - Cubic ≈  $n^3$
- In a log-log chart, the slope of the line corresponds to the growth rate of the function

### **Growth Rates**



### **Notations**

- Focus on <u>order of magnitude</u>
   O(N), O(log N), O(N log N)
- Big Oh, Theta, Omega O  $\Theta$   $\Omega$
- Constant is not significant

$$1.5 N -> O(N)$$
  
 $120 N -> O(N)$ 

Lower ordered terms are ignored
 16 N<sup>3</sup> + 6 N -> O(N<sup>3</sup>)

### **Big-Oh Notation**

• Given functions f(n) and g(n), we say that f(n) is O(g(n)) if there are positive constants c and  $n_0$  such that

$$f(n) \le c * g(n)$$
 for  $n \ge n_0$ 

(Ex) 
$$2n + 10$$
 is  $O(n)$   
Proof:  $2n + 10 \le cn$   
 $(c-2)$   $n \ge 10$   
 $n \ge 10/(c-2)$   
 $c = 3$  and  $n_0 = 10$ 

### Big-Oh and Growth Rate

- The big-Oh notation gives an upper bound on the growth rate of a function
- The statement "f(n) is O(g(n))" means that the growth rate of f(n) is no more than the growth rate of g(n)
- We can use the big-Oh notation to rank functions according to their growth rate

	f(n) is $O(g(n))$	g(n) is $O(f(n))$
g(n) grows more	Yes	No
f(n) grows more	No	Yes
Same growth	Yes	Yes

### Big-Oh Rules

- If is f(n) a polynomial of degree d, then f(n) is  $O(n^d)$ , i.e.,
  - ✓ Drop lower-order terms
  - ✓ Drop constant factors
- Use the smallest possible class of functions
  - Say "2n is O(n)" instead of "2n is  $O(n^2)$ "
- Use the simplest expression of the class
  - Say "3n + 5 is O(n)" instead of "3n + 5 is O(3n)"

# Asymptotic Algorithm Analysis

- The asymptotic analysis of an algorithm determines the running time in big-Oh notation
- To perform the asymptotic analysis
  - We find the worst-case number of primitive operations executed as a function of the input size
  - We express this function with big-Oh notation
- (Ex)
  - We determine that algorithm arrayMax executes at most 7n-1 primitive operations
  - We say that algorithm arrayMax "runs in O(n) time"
- Since constant factors and lower-order terms are eventually dropped anyhow, we can disregard them when counting primitive operations

### Theoretical Analysis-alternative

- Uses a high-level description of the algorithm instead of an implementation
- Takes into account all possible inputs
- Allows us to evaluate the speed of an algorithm independent of the hardware/software environment

## Computation Model

- Artificial machine with basic arithmetic operations such as +, -, \*, /
- All with the same computing time one unit (second?)
- How real ? -> asymptotic(for big N)

# **Primitive Operations**

- Basic computations performed by an algorithm
- Identifiable in pseudocode
- Largely independent from the programming language
- Exact definition not important

#### (Ex)

- Evaluating an expression
- Assigning a value to a variable
- Indexing into an array
- Calling a method
- Returning from a method

## Counting Primitive Operations

 By inspecting the pseudocode, we can determine the max number of primitive operations executed by an algorithm, as a function of the input size

```
Algorithm arrayMax(A, n)# operationscurrentMax \leftarrow A[0]1for i \leftarrow 1 to n-1 donif A[i] > currentMax then(n-1)currentMax \leftarrow A[i](n-1)return currentMax1Total 3n
```

# 예제: $\sum_{k=1}^{N} k^3$

```
int Sum (int N) {
    int i, PartialSum;
    PartialSum = 0;
    for (i=1; i \le N; i++)
             PartialSum += i * i * i;
   return PartialSum;
```

# Prefix Averages (Quadratic)

 The following algorithm computes prefix averages in quadratic time by applying the definition

```
Algorithm prefixAverages1(X, n)
   Input array X of n integers
   Output array A of prefix averages of X #operations
  A \leftarrow new array of n integers
                                                        n
   for i \leftarrow 0 to n-1 do
                                                        n
        s \leftarrow X[0]
                                                1 + 2 + \ldots + (n-1)
        for j \leftarrow 1 to i do
                                                1+2+...+(n-1)
            s \leftarrow s + X[i]
        A[i] \leftarrow s / (i+1)
   return A
```

# Simple Algorithms

Finding a maximum(or min.)

$$T(N) = O(N)$$

- Sort

$$T(N) = O(N \log N)$$

- Binary Search

$$T(N) = O(\log N)$$

### Binary Search

- Algorithm very fundamental, very important!
   listed in Figure 2.9 on page 30
- Running time

$$T(N) = O(\log N)$$

- Algorithm efficiency in terms of
  - Time complexity
  - Space complexity
- Issues
  - How to estimate the time required for a program
  - How to reduce the running time of a program
  - The results of careless use of recursion

# Time complexity

- Algorithm used
- Input size
- T(n): function on input size n
  - T<sub>ava</sub>(N): Average running time
  - T<sub>worst</sub>(N): Worst running time
- T<sub>avg</sub>(N) often reflects typical behavior
- T<sub>worst</sub>(N) represents a guarantee for performance on any possible input

#### **Definitions**

- Establish a relative order among functions
- We compare relative rates of growth
- 1. T(N) = O(f(N)) if there are positive constants c and  $n_0$  such that  $T(N) \le c * f(N)$  when  $N \ge n_0$  (Big-Oh)
  - The growth rate of T(N) is less than or equal to that of f(N)
- 2.  $T(n) = \Omega$  (g(N)) if there are positive constants c and  $n_0$  such that  $T(N) \ge c*g(N)$  when  $N \ge n_0$  (Omega)
  - The growth rate of T(N) is greater than or equal to that of g(N)

# Meaning

- 3.  $T(N) = \Theta(h(N))$  if and only if T(n) = O(h(N)) and  $T(n) = \Omega(h(N))$  (Theta)
  - The growth rate of T(N) equals the growth rate of h(N)
- 4. T(N) = o(p(N)) if T(n) = O(p(N)) and  $T(n) \neq \Theta(p(N))$  (Little-oh)
  - The growth rate of T(N) is less than the growth rate of p(N).

#### Definition 1 :

T(n) = O(f(n)) if there are positive constants c and  $n_0$  such that  $T(n) \le c * f(n)$  for  $n \ge n_0$ 

Says that eventually there is some point n past which c \* f(n) is always at least as large as T(n), so that if constant factors are ignored, f(n) is at least as big as T(n).

Ex 1: 
$$T(n) = (n+1)^2 = O(n^2)$$

Ex 2: 
$$T(n) = 3n^3 + 2n^2 = O(n^3)$$

Ex 1: 
$$T(n) = (n+1)^2 = O(n^2)$$

Ex 2: 
$$T(n) = 3n^3 + 2n^2 = O(n^3)$$

```
Show T(n) = 3n^3 + 2n^2 \le cn^3 for some c and n ≥ n_0
For c =4, 3n^3 + 2n^2 \le 4n^3
n^3 - 2n^2 \ge 0
n \ge 2 \rightarrow n_0 = 2
T(n) = <math>3n^3 + 2n^2 \le 4n^3 for c =4, n ≥ 2
```

#### Definition 2 :

 $T(n) = \Omega (f(n))$  if there are positive constants c and  $n_0$  such that  $T(n) \ge c * f(n)$  for  $n \ge n_0$ 

Ex 4: 
$$T(n) = n^3 + 2n^2 = \Omega(n^3)$$

```
<Proof>
Show T(n) = n^3 + 2n^2 \ge cn^3 for c, n \ge n_0
For c = 1, n^3 + 2n^2 \ge n^3
2n^2 \ge 0 \qquad \Rightarrow n \ge 1
T(n) = n^3 + 2n^2 \ge n^3 for c=1, n \ge 1
```

Definition 3 :

$$T(n)$$
 is  $\Theta(f(n))$  if  $T(n) = O(f(n))$  and  $T(n) = \Omega(f(n))$ 

Definition 4:

T(n) is o(f(n)) if T(n) = O(f(n)) and  $T(n) \neq \Theta(f(n))$ 

- Time complexity comparison
  - Relative growth rate for large n
  - L'Hospital's rule:  $\lim_{n\to\infty} f(n) / g(n)$

```
0: f(n) < g(n) \text{ for large } n \rightarrow f(n) = O(g(n)), o(g(n))
```

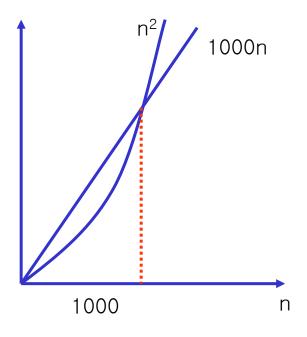
c: f(n) = c\*g(n) for large  $n \rightarrow f(n) = \theta(g(n))$ 

 $\infty$ : f(n) > g(n) for large n  $\rightarrow$  f(n) =  $\Omega(g(n))$ , g(n)=o(f(n))

oscillate: no relation

Ex)  $2^n > n^3 > n^2 \log n > n^2 > n \log n > n > \log^3 n > \log n > c$ 

- Constant factor
  - $O(n^2) vs O(1000n)$



$$n \le 1000 \rightarrow O(n^2)$$
  
 $n > 1000 \rightarrow O(1000n)$ 

For 
$$n \rightarrow \infty$$
,  $O(n^2) > O(n)$ 

# **Growth rates of typical functions**

Function	Name		
С	Constant		
$\log N$	Logarithmic		
$\log^2 N$	Log-squared		
N	Linear		
$N \log N$			
$N^2$	Quadratic		
$N^3$	Cubic		
$2^N$	Exponential		

Figure 2.1 Typical growth rates

• Given (possibly negative) integers  $A_1, A_2, ..., A_N$ , find the maximum value of  $\sum_{k=i}^{j} A_k$ . (For convenience, the maximum subsequence sum is 0 if all the integers are negative.)

$$(Ex)$$
 -2, 11, -4, 13, -5, -2

The answer is 20 (subsequence 11, -4, 13)

```
MaxSubsequenceSum( const int A[], int N)
           int ThisSum, MaxSum, i, j, k;
/* 1*/ MaxSum = 0;
/* 2*/ for( i = 0; i < N; i++ )
/* 3*/
               for(j = i; j < N; j++)
/* 4*/
                   ThisSum = 0;
/* 5*/
                   for( k = i; k <= j; k++ )
                       ThisSum += A[k]:
/* 6*/
/* 7*/
                   if( ThisSum > MaxSum )
/* 8*/
                       MaxSum = ThisSum;
/* 9*/ return MaxSum;
```

```
MaxSubSequenceSum( const int A[ ], int N )
            int ThisSum, MaxSum, i, j;
           MaxSum = 0
/* 1*/
/* 2*/
         for( i = 0; i < N; i++)
/* 3*/
               ThisSum = 0;
                for(j = i; j < N; j++)
/* 5*/
                    ThisSum += A[j];
/* 6*/
                    if( ThisSum > MaxSum )
/* 7*/
                        MaxSum = ThisSum;
/* 8*/
           return MaxSum;
```

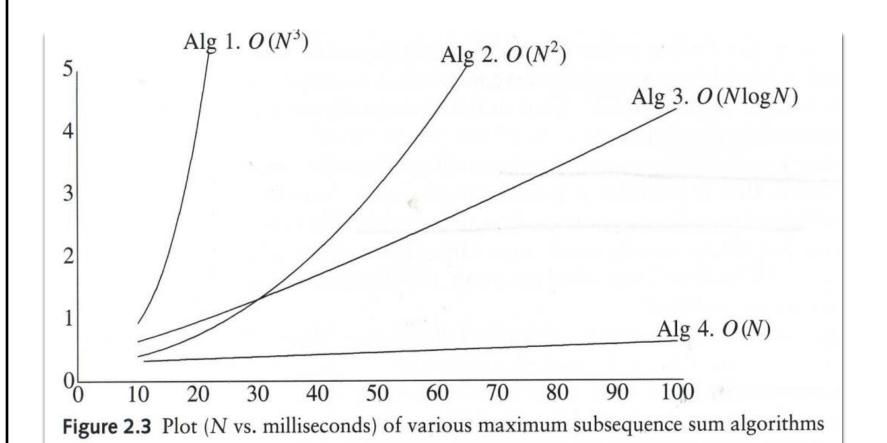
```
MaxSubSum( const int A[ ], int Left, int Right )
            int MaxLeftSum, MaxRightSum;
           int MaxLeftBorderSum, MaxRightBorderSum;
           int LeftBorderSum, RightBorderSum;
           int Center, i:
/* 1*/
           if( Left == Right ) /* Base Case */
/* 2*/
                if( A[ Left ] > 0 )
/* 3*/
                    return A[ Left ]:
                else
/* 4*/
                    return 0:
/* 5*/
           Center = ( Left + Right ) / 2;
/* 6*/
           MaxLeftSum = MaxSubSum( A, Left, Center );
           MaxRightSum = MaxSubSum( A, Center + 1, Right );
/* 7*/
           MaxLeftBorderSum = 0; LeftBorderSum = 0
/* 8*L
/* 9*/
           for( i = Center; i >= Left; i-- )
/*10*/
               LeftBorderSum += A[ i ]:
/*11*/
                if( LeftBorderSum > MaxLeftBorderSum )
/*12*/
                    MaxLeftBorderSum = LeftBorderSum:
```

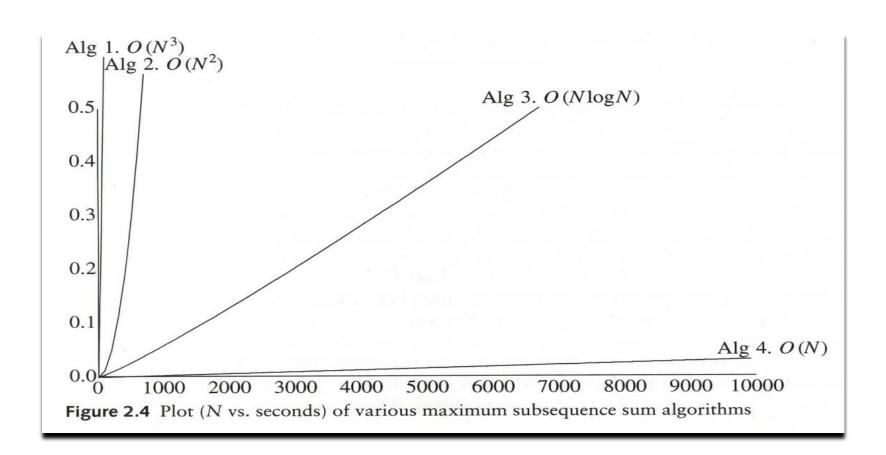
```
/*13*/
           MaxRightBorderSum = 0; RightBorderSum = 0;
            for( i = Center + 1; i <= Right; i++ )
/*14*/
/*15*/
                RightBorderSum += A[ i ];
                if( RightBorderSum > MaxRightBorderSum )
/*16*/
/*17*/
                    MaxRightBorderSum = RightBorderSum;
/*18*/
           return Max3( MaxLeftSum, MaxRightSum,
                    MaxLeftBorderSum + MaxRightBorderSum );
/*19*/
       int
       MaxSubsequenceSum( const int A[ ], int N )
           return MaxSubSum( A, O, N - 1 );
```

```
MaxSubsequenceSum( const int A[ ], int N )
            int ThisSum, MaxSum, j;
/* 1*/
           ThisSum = MaxSum = 0;
/* 2*/
           for(j = 0; j < N; j++)
/* 3*/
               ThisSum += A[ j ];
/* 4*/
                if( ThisSum > MaxSum )
                    MaxSum = ThisSum;
/* 5*/
                else if( ThisSum < 0 )
/* 6*/
                    ThisSum = 0;
/* 7*/
/* 8*/
            return MaxSum:
```

A	lgorithm	1	2	3	4
	Time	$O(N^3)$	$O(N^2)$	$O(N \log N)$	O(N)
Input Size	N = 10 N = 100 N = 1,000 N = 10,000 N = 100,000	0.00103 0.47015 448.77 NA NA	0.00045 0.01112 1.1233 111.13 NA	0.00066 0.00486 0.05843 0.68631 8.0113	0.00034 0.00063 0.00333 0.03042 0.29832

Figure 2.2 Running times of several algorithms for maximum subsequence sum (in seconds)





```
Property 1: If T_1(n) = O(f(n)) and T_2(n) = O(g(n)),
                     T_1(n) + T_2(n) = O(\max(f(n), g(n)))
cproof> By definition,
     T_1(n) \leq c_1 f(n) for c_1, n > n_1
     T_2(n) \le c_2 f(n) for c_2, n > n_2
     T_1(n) + T_2(n)
          \leq c_1 f(n) + c_2 f(n)
          \leq c_1 \max(f(n), g(n)) + c_2 \max(f(n), g(n)) for n \geq \max(n_1, n_2)
          \leq (c<sub>1</sub> + c<sub>2</sub>) max(f(n), g(n)) for n \geq max(n<sub>1</sub>,n<sub>2</sub>)
```

```
    Ex 7: T(n) = T1(n) + T2(n) + T3(n) = O(n²) + O(n³) + O(nlogn)
        T(n) =
    Ex 8: T1(n) = n⁴ if n is even, n² if n is odd
        T2(n) = n² if n is even, n³ if n is odd
        if n is even → T(n) =
        if n is odd → T(n) =
```

• Property 2: If T(n) = O(f(n)+g(n)) such that  $g(n) \le f(n)$  for all  $n \ge n_0$  T(n) = O(f(n))

Ex) 
$$T(n) = O(n^2 + n^3 + log n)$$

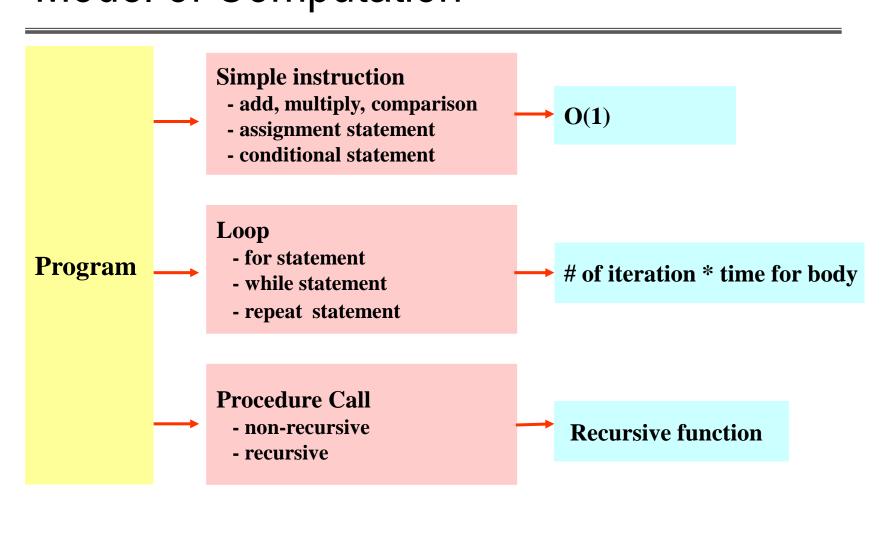
• Property 3: If  $T_1(n) = O(f(n))$  and  $T_2(n) = O(g(n))$ ,

$$T(n) = T_1(n) * T_2(n) = O(f(n) * g(n))$$

• Property 4: T(n) = O(c\*f(n)) = O(f(n))

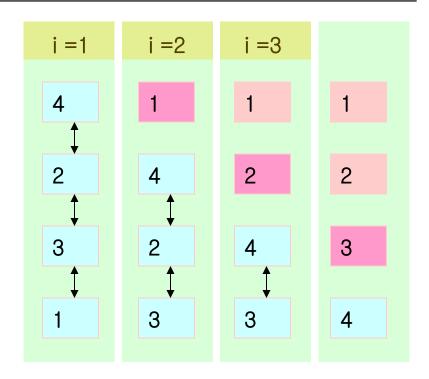
Ex) 
$$O(4/3n^3 + 1/2n^2 + 2) =$$

# Model of Computation



#### Bubble sort

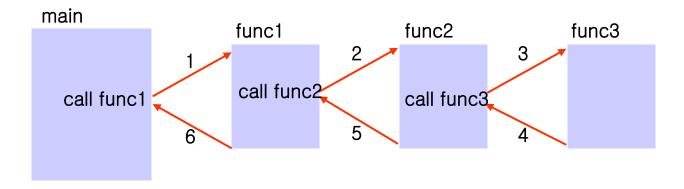
```
void Bubble (var A[1..n]) {
   int i, j, temp;
   for (i=1; i ≤n-1; i++)
     for (j=n; j ≥ i+1; j--)
        if A[j-1] > A[j] {
        temp = A[j-1];
        A[j-1] = A[j];
        A[j] = temp
     }
}
```



$$T(n) = \sum_{i=1}^{n-1} (n-i) * 1 = (n-1) + (n-2) + ... + 1 = n(n-1)/2 = O(n^2)$$

## **Function Call**

Non-recursive Call



Recursive Call

T(n) = f(T(k)) for various value of k

#### **Factorial**

```
int fact ( int n) {
    if n <=1
        return 1
    else
        return n*fact(n-1)
}</pre>
```

```
T(n) = T(n-1) + c \text{ if } n > 1
= d if n = 1
```

#### **Factorial**

$$T(n) = T(n-1) + c \text{ if } n > 1$$
  
= d if n = 1

$$T(n) = T(n-1) + c$$

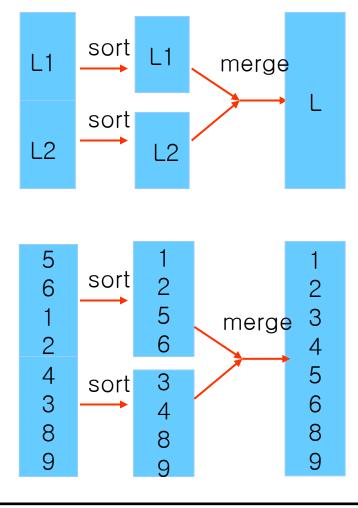
$$= [T(n-2)+c]+c = T(n-2) + 2c$$

$$= [T(n-3)+c]+2c = T(n-3) + 3c$$
.....
$$= T(1) + (n-1)c$$

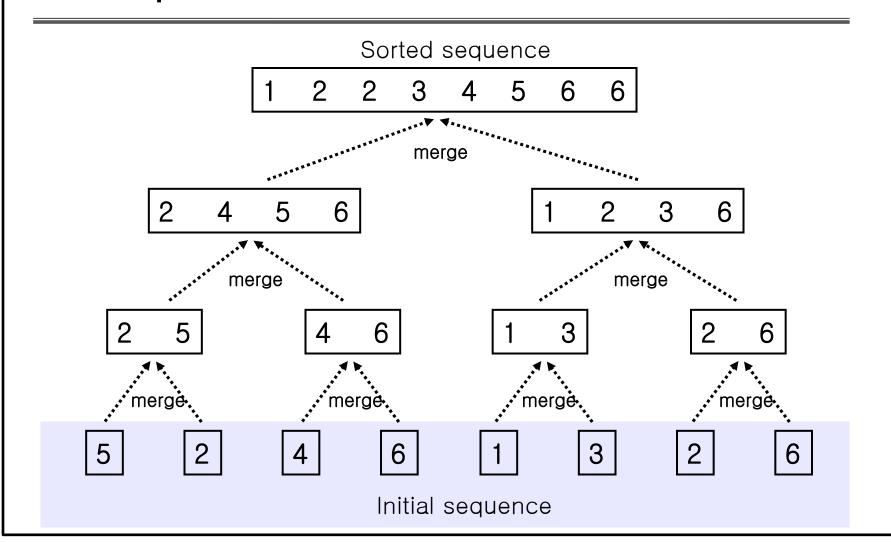
$$= d + (n-1) c$$

$$= O(n)$$

# Mergesort



# Example



# Merge-sort

```
void Merge-sort( L, n) {
  if n <=1
    return L
  else {
    Merge-sort (L1, n/2);
    Merge-sort (L2, n/2);
    return merge (L1, L2, n/2);
}</pre>
```

```
T(n) = 2T(n/2) + c_1 n \text{ if } n > 1
= c_2 if n = 1
```

## Merge-sort

$$T(n) = 2T(n/2) + c_1 n \text{ if } n > 1$$
  
=  $c_2$  if  $n = 1$ 

$$\begin{split} T(n) &= 2T(n/2) + c_1 n \\ &= 2[2T(n/2^2) + c_1 n/2] + c_1 n = 2^2 T(n/2^2) + 2c_1 n \\ &= 2^2[2T(n/2^3) + c_1 n/2^2] + 2c_1 n = 2^3 T(n/2^3) + 3c_1 n \\ &\cdots \\ &= 2^r T(n/2^r) + r c_1 n \quad \Rightarrow n/2r = 1, \quad n = 2r \;, \quad r = logn \\ &= n \; c_2 + c_1 n logn \\ &= O(n logn) \end{split}$$

# Binary Search

```
Search x(=16) from a sorted list A

1 2 3 5 8 9 10 11 13 15 16 18 21 24 26 30

1 1 3 4 2
```

```
int binary-search (A, low, high, x) {
    mid = (low+high)/2;
    if (A[mid]=x) then
        return mid
    else if (A[mid]>x) then
        binary-search(A,low,mid-1,x)
    else binary-search(A,mid+1,high,x)
    }
```

$$T(n) = T(n/2) + 1 \text{ if } n > 1$$
  
= 1 \text{ if } n = 1

# Binary Search

$$T(n) = T(n/2) + 1 \text{ if } n > 1$$
  
= 1 \text{ if } n = 1

$$T(n) = T(n/2) + 1$$

$$= [T(n/2^2) + 1] + 1 = T(n/2^2) + 2$$

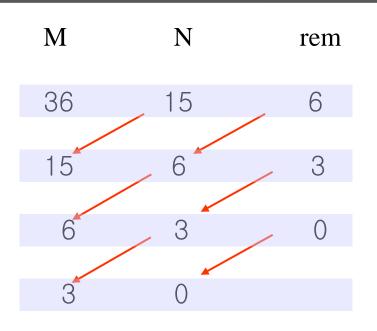
$$= [T(n/2^3) + 1] + 1 = T(n/2^3) + 3$$
...
$$= T(n/2^r) + r \rightarrow n/2^r = 1, \ n = 2^r, \ r = logn$$

$$= T(1) + logn = 1 + logn$$

$$= O(logn)$$

# GCD(Greatest Common divisor)

```
int GCD (M, N) {
    while (N!=0){
        rem = M % N;
        M = N;
        N = rem;
    }
    return M
}
```



# GCD(Greatest Common Divisor)

#### (Theorem 2.1) If M > N, $M \mod N < M/2$

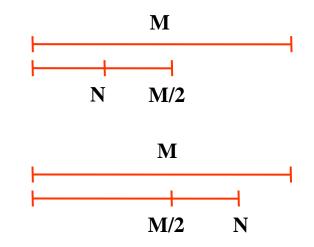
<Proof>

Case 1 :  $N \le M/2$ 

 $M \mod N < M/2$ 

Case 2 : N > M/2

 $M \mod N \le M-N \le M/2$ 



$$T(n) = T(n/2) + 1 \text{ if } n > 1$$
  
= 1 \quad \text{if } n = 1  
= O(\log n)

#### Fibonacci numbers

```
long Fib (int n) {
    if (n <= 1)
        return 1
    else
        return Fib(n-1) + Fib(n-2)
}</pre>
```

$$F_{i+1} = F_i + F_{i-1}, F_0 = F_1 = 1$$

$$T(n) = T(n-1) + T(n-2) + 2$$

```
T(n) = T(n-1) + T(n-2) \rightarrow T(n) < (5/3)^k = O((5/3)^k) <br/>
< Proof> By induction <br/>
Base \ step: \ for \ n=1, \ T(1)=1 < (5/3)^1 <br/>
Induction \ step: Suppose \ it \ holds \ for \ n \leq k. <br/>
Then, \ we \ want \ to \ show \ it \ holds \ for \ n=k+1 <br/>
(See \ page \ 6 \ of \ text.)
```